

4

FORMALITIES LETTER



OC00000005349428



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/593,173	06/12/2000	Antonio Guarna	1195-003

James V Costigan Esq
Hedman Gibson & Costigan PC
1185 Avenue of the Americas Suite 2003
New York, NY 10036-2646



Date Mailed: 08/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$386.
 - \$126 for 7 total claims over 20.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1206.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/29/2000	WKDRAMA	00000045	081540	055933173
01 FC:115	116-00-CH			



Docket No.: 1195-003

sector 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT OPERATION

In re Application of:)
Antonio Guarna et al.) Group Art Unit: --
Serial No.: 09/593,173) Examiner: --
Filed: June 12, 2000)
For: **BENZO[C]QUINOLIZINE DERIVATIVES AND THEIR USE AS 5
ALPHA REDUCTASE INHIBITORS**

New York, NY 10036
November 21, 2000

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

LETTER TRANSMITTING DECLARATIONS

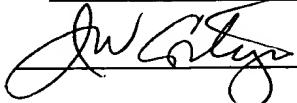
Sir:

In response to the PTO Notice to File Missing Parts of Application-Filing Date Granted (copy enclosed), dated August 24, 2000, in the above-identified application, enclosed herewith is the executed Declaration, Small Entity Status Declaration, and a check in the amount of \$1,206.00 to cover the basic filing fee and late surcharge fee for the above-identified application which was originally filed without an executed Declaration.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

on November 21, 2000



James V. Costigan, Registration No. 25,669

The PTO did not receive the following
listed item(s) CHECK FOR \$1206.00 &
\$110.00

Also enclosed is a Petition for an Extension of Time and a check for \$110.00 to cover the extension fee.

Please make the Declarations of record in the present application.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1540. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



James V. Costigan
Registration No. 25,669

MAILING ADDRESS:

HEDMAN, GIBSON & COSTIGAN, P.C.
1185 Avenue of the Americas
New York, NY 10036-2601
(212) 302-8989